

#S  
S.I  
11-04-03



Attorney's Docket No. 042390.P5842D

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of: )  
 )  
Tai et al. )  
 )  
Application No.: 09/940,918 )  
 )  
Filed: August 27, 2001 )  
 )  
For: Method and Apparatus for Extending )  
Point-to-Point/ Asynchronous )  
Transfer Mode Services to Client )  
Computer Systems )

Examiner: Not Yet Assigned

Art Unit: 2661

**RECEIVED**

OCT 23 2003

Technology Center 2600

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form. Certain references listed on the enclosed Form PTO-1449 were previously identified in the parent application of the present application, and copies of the references were furnished at that time. Accordingly, additional copies of the references are not submitted herewith, so as not to burden the file with duplicate copies of references. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):


- ☒ 37 C.F.R. §1.97(b).
- ☐ 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
- ☐ A statement pursuant to 37 C.F.R. §1.97(e) or
- ☐ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
- ☐ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
  - (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: October 8, 2003

  
\_\_\_\_\_  
Aslam A. Jaffery  
Reg. No. 51,841

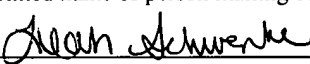
12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025  
(408) 720-8300

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on October 8, 2003

(Date of Deposit)

Leah Schwenke

(Typed or printed name of person mailing correspondence)

  
\_\_\_\_\_  
(Signature of person mailing correspondence)

Substitute for Form 1449/PTO

## INFORMATION DISCLOSURE

## STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

## Complete if Known

Application Number 09/940,918  
 Filing Date 8/27/01  
 First Named Inventor: Tai et al.  
 Art Unit 2661  
 Examiner Name  
 Attorney Docket Number 042390.P5842D

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (If known)				
		US-	5,710,885	01/20/98	Bondi	
		US-	5,883,893	03/16/99	Rumer et al.	
		US-	5,883,901	03/16/99	Chiu et al.	
		US-	6,557,108	04/29/03	Moore et al.	
		US-	5,956,344	09/21/99	Cole	
		US-	6,301,229	10/9/01	Araujo et al.	
		US-	6,189,037	02/13/01	Adams et al.	
		US-	5,912,896	06/15/99	Beighe et al.	
		US-	6,028,844	02/22/00	Hao et al.	
		US-	6,512,773	01/28/03	Scott	
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				

RECEIVED  
OCT 23 2003  
Technology Center 2600

RECEIVED

OCT 23 2003

Technology Center 2600

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

<b>Substitute for Form 1449/PTO</b> <div style="text-align: center; font-size: 1.2em; font-weight: bold;">             INFORMATION DISCLOSURE              STATEMENT BY APPLICANT  <small>(use as many sheets as necessary)</small> </div>				<b>Complete if Known</b>	
				Application Number	09/940,918
				Filing Date	August 27, 2001
				First Named Inventor:	Tai, et al.
				Art Unit	2661
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	042390.P5842D
Sheet	2	of	2		

OCT 14 2003  
 PATENT & TRADEMARK OFFICE

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		William Stallings, Dat and Computer Communications, 5 <sup>th</sup> edition, Prentice Hall, pages 342-346.	
		Tai, et al, BMAAP: Extending PPP/ATM Services Across Ethernet/USB/IEEE 1394, September 15-18, 1998, ADSL Forum, Singapore.	
		Tai, et al, BMAAP: Extending PPP/ATM Services Across Ethernet/USB/IEEE 1394, June 16-19, ADSL Forum, Montreal, Canada.	

RECEIVED

OCT 23 2003

Technology Center 2600

Examiner Signature	Date Considered
-----------------------	--------------------

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.  
 If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.